

## Profile of Anders Björklund

When Anders Björklund started medical school in the early 1960s, scientists still believed that the structure of the adult brain was fixed and immutable and that nerve cells could not be regenerated after damage or death. But that view changed when Björklund began using a powerful new fluorescence microscopic method to view subsets of neurons. He became convinced that given the right conditions, immature neurons could be inserted into the brain to help regenerate damaged areas. That leap of faith—and the groundbreaking revelations that followed—jumpstarted his pioneering career in neuroscience.

Björklund, a founder of the Wallenberg Neuroscience Center (Lund, Sweden) and recently elected member of the National Academy of Sciences, is currently a professor and head of the neurobiology unit at Lund University in Lund, Sweden. In 2011, he received the Robert A. Pritzker Prize for Leadership in Parkinson Research from the Michael J. Fox Foundation. His initial finding soon opened the door to an entirely new line of research. After revealing that dopamine neurons could be transplanted into rats to relieve Parkinson-like symptoms, Björklund and former student Olle Lindvall initiated the first clinical trials in Parkinson patients to confirm that dopamine neuroblasts, implanted into the striatum, can survive, integrate, and function for years in the diseased brain. Researchers at Lund are now exploring the use of customized stem cells to treat Parkinson disease. The Inaugural Article by Lundblad et al. (1) delves deeper into understanding the causes of Parkinson in hopes of identifying effective alternative therapies for the disease.

### Where the Action Was

Björklund grew up in Söderhamn, Sweden, a small town in the south of the country. His parents graduated from Uppsala University (Uppsala, Sweden) and returned to high school, where his father taught religion and philosophy and his mother taught Swedish and English. During his own high school career, Björklund discovered an affinity for science, particularly the natural sciences, mathematics, and physics. By the time that he was ready for college, he had decided that biology—specifically, the brain—was where the action was.

It was the early 1960s, not long after Watson and Crick had described the structure of DNA, and the modern field of molecular biology was starting to emerge. Björklund was particularly interested in the brain and had been told that the most



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interesting work was happening at medical schools. So he applied and was invited to join the medical school at the University of Lund, where he would stay for his entire career. During his first year, Björklund attended a lecture by Lund professor Bengt Falck, who, along with Nils-Åke Hillarp, had recently developed a method to examine nerve cells inside the brain and other places in the body. The Falck-Hillarp method, as it was called, used fluorescence microscopy to reveal signal-transmitting nerves. At the lecture, Falck asked if anyone was interested in working in his laboratory. “I thought it looked very interesting,” recalls Björklund. “And it turned out to be a very dynamic lab. Everything was new; the technique was unique, allowing us to see things that weren’t possible before.”

Björklund worked in the laboratory as a neuroanatomist, mapping the monoamine systems in the brain to unravel their organization (2, 3). By age 24, he had completed the requirements for a PhD. However, he had worked so intensely in the laboratory that clinical training had fallen to the back burner. At the time, medical schools in Sweden could only award MD/PhDs, and Björklund felt reluctant to turn away from his research to fulfill one of the last requirements for the dual degree. So he applied to the Swedish minister of education for a special waiver and became one of a handful of researchers in Sweden who received the joint MD/PhD without completing the clinical work.

After his degree was final, Björklund stayed at Lund and benefitted from the “tremendously supportive” mentorship of Falck. Just 2 years later, Falck was promoted to department chairman, and he handed over most of his laboratory to his aspiring 26-year-old student, Björklund.

### Radical Idea

By the mid-1970s, Björklund had switched focus from mapping brain anatomy and function to studying brain regeneration. He became absorbed in the then-radical hypothesis that brain damage could be repaired given the appropriate conditions through the transplantation of immature neurons. “The general view at that time was that the brain was like a telephone switchboard, fixed and immutable,” says Björklund. “Regeneration in the central nervous system was described as abortive and nonexistent. So no one was interested in studying brain regeneration, because they thought it was hopeless.”

However, Björklund and his partner, Ulf Stenevi, had spent years using the Falck-Hillarp method to study neurons in their entirety, exploring their growth and connections. The pair thought it might be possible to implant immature cells into damaged brain areas, where the new cells might repair the damaged pathways. They began working on rats, damaging nerve cells in specific brain regions, such as the hippocampus and the basal ganglia, and then implanting immature neurons or neuroblasts from developing rat fetuses at the site of injury. “We got striking results,” says Björklund, who used microscopy, electrophysiology, behavioral measures, and other methods to systematically study the ability for implanted neuroblasts to develop in the adult rat brain (4, 5).

“The idea was to replace the damaged neurons with new cells that would assume functions similar to the original system,” says Björklund. “We demonstrated that immature neuroblasts, once they were allowed to develop and integrate in the host brain, could reestablish lost connections and substitute to a certain extent for the damaged system. The effect was long-lasting, with the cells seemingly integrating permanently into the host.”

For the first several years, Björklund and his colleagues were alone in their efforts. However, others gradually began to take notice. Today, the ability of the brain to adapt, compensate, and respond to damage and activity—a phenomenon known as

This is a Profile of a recently elected member of the National Academy of Sciences to accompany the member's Inaugural Article on page 3213 in issue 9 of volume 109.

plasticity—is commonplace. Those early findings inspired Björklund's laboratory to pursue a therapeutic technique.

### Transplanting Memories

Björklund is most noted for his work on Parkinson disease, but in the early 1980s, he spent some time exploring whether cell transplantation could correct memory deficits caused by damage to the hippocampus. The study was an offshoot of a collaboration with Susan Iversen's laboratory at Cambridge University (Cambridge, England). Iversen's laboratory had sophisticated behavioral tests for measuring cognitive function in rodents. Iversen's graduate student at the time, Steve Dunnett, spent a year in Lund setting up behavioral tests that would allow Björklund's group to measure rat behavior after brain damage and then again after transplantation to see if the rodents had recovered any function.

During this time, neuroscientist Fred "Rusty" Gage joined Björklund's laboratory as a postdoctoral student. During Gage's 4 years in the laboratory, Björklund estimates that they wrote as many as 50 papers together. "We had a great time, the most stimulating and enjoyable collaboration of my entire career, and made remarkable progress," he says. In one series of studies, the pair impaired learning and memory by removing the cholinergic inputs from the rats' hippocampi, and then, they reversed the impairments by implanting cholinergic neuroblasts into the damaged areas (6). The grafted neurons accurately reestablished the terminal innervation patterns of the lost cholinergic pathways, and grafting was accompanied by improvements in maze-learning behavior.

The collaboration lasted long after Gage left Lund, eventually exploring the restorative effects of the neurotrophic factor Nerve Growth Factor (NGF) (7). The group showed that NGF could protect cholinergic neurons in the hippocampus from damage and that administration of NGF into the brain could ameliorate cholinergic neuron atrophy and cognitive impairments in animal models of cognitive decline (8, 9). Björklund believes that the work has implications for treating memory-related brain damage in humans, but no one has followed it up in a clinical setting; perhaps, he says, because most problems with learning and memory in humans are more complex than just damage to the cholinergic system.

### Focusing on Parkinson

By the mid-1980s, Björklund's attention had returned to Parkinson disease. "The scientific challenges for me have clustered in that area," says Björklund. "That's been a strong incentive to focus there. There

are lots of nuts to crack." The work started in 1979, after Björklund's group successfully transplanted fetal cells into rat brains. From there, he and his colleagues began working on rats with injuries to the nigro-striatal dopamine system, which results in motor impairments that mimic Parkinson disease in humans. In a series of studies, the researchers showed that transplants of fetal dopamine neurons into the damaged regions of the rats' brains restored local dopamine concentrations and reestablished normal synaptic contacts with other neurons in the striatum (10, 11). They also revealed that the transplants restored some of the basic motor functions that had been lost because of brain damage (12).

This research eventually led to attempts to transplant human fetal cells into the rat brain. After treating the rats with immune-suppressing drugs to prevent rejection, the researchers followed the development and growth of the human cells in the rats' brains (13). "This work formed the link between our experimental work and moving into human patients," says Björklund. "It allowed us to define the age window during which neuroblasts will survive and grow. If they're too young, they won't form functional cells, and if they're too old, they die."

In 1985, Björklund and Lindvall organized a program of clinical trials, where aborted fetal nerve cells were implanted into the brains of patients with Parkinson disease. The studies proved that immature dopamine neurons could survive and mature in the striatum of patients with advanced Parkinson disease. Furthermore, the transplanted cells were shown to restore dopamine neurotransmission in the striatum and in some patients, restore partial motor function (14, 15). However, the results varied greatly among patients. In fact, two National Institutes of Health-sponsored studies of cell transplantation as a treatment for Parkinson concluded that, although transplantation sometimes provided sustained benefits for up to 20 years in some patients, the results were predominantly insignificant (16, 17). "Those findings made us go back to the drawing board," admits Björklund, who believes that variability in fetal material used for transplantation may explain some of the inconsistency.

His focus is now on creating transplantable cells from embryonic stem cells, which may provide a much more predictable supply of cells. However, the process is slow. Success depends, in large part, on understanding how to control neurogenesis and regulate a cell's neuronal phenotype. "Today, compared to 10 to 15 years ago, we have a much better understanding of how dopaminergic neurons are formed," says Björklund.

### Looking Beyond Transplantation

Although Björklund remains interested in finding successful techniques for transplanting dopaminergic cells into Parkinson patients, he believes that there is promise for finding completely new—and perhaps more successful—therapies by investigating the usefulness of neurotrophic factors and better understanding the underlying cause of Parkinson disease. On the neurotrophic front, his laboratory has led efforts to explore the neuroprotective and regenerative properties of glial cell line-derived neurotrophic factor (GDNF) in the nigro-striatal dopamine system (7). Additionally, his team has worked tirelessly to develop recombinant adeno-associated virus (AAV) and lentiviral vectors for neuroprotective and restorative therapy in animal models of Parkinson disease (18).

Björklund's group has also studied ways to make current treatments more effective by better understanding how the Parkinson drug known as L-3,4-dihydroxyphenylalanine, or L-DOPA, causes dyskinesia, an unwanted side effect characterized by involuntary muscle movements. Recent research in Björklund's laboratory showed that serotonin neurons drive dyskinesia by taking up L-DOPA in a manner similar to the manner used by dopamine neurons but with less control, leading to the unregulated production of dopamine (19). Björklund's laboratory is currently conducting a clinical trial to see if a drug that controls the serotonin neurons can alleviate dyskinesia.

The Inaugural Article by Lundblad et al. (1) suggests that Parkinson disease begins at the axonal level rather than the level of the neuron itself, which early models of the disease seemed to suggest. In the study, Björklund and his colleagues overexpress human  $\alpha$ -synuclein in the substantia nigra of the rat brain—an area associated with Parkinson disease. They then monitored changes in the release of synaptic dopamine by neurons in this brain area. After 10 days and before observing damage to the axons, the researchers noticed a 50% reduction in dopamine reuptake. After 3 weeks, the first signs of axonal damage were evident, along with a 70–80% decrease in dopamine release. Between 8 and 16 weeks, abundant signs of axonal damage were present, along with an 80–90% reduction in dopamine release and reuptake. The findings, Björklund argues, support the idea that changes in how neurons handle dopamine may initiate and drive a progressive degenerative process that hits the axons and terminals first. "This could open the disorder up for therapy in a new way," says Björklund. "If we can catch the neurons when they are still alive and just

